



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nobuhiko HAYASHI et al.

Serial No.: 09/898,043

Group Art Unit: 2826

Filed: July 5, 2001

Examiner: Johannes P. MONDT

For: NITRIDE BASED SEMICONDUCTOR LIGHT EMITTING DEVICE AND
NITRIDE BASED SEMICONDUCTOR LASER DEVICE (as Amended 10/14/03)

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)(4)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 2, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, because it is being submitted prior to the issuance of a first official action on the merits following the **Request for Continued Examination (RCE)** in the above-identified patent application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

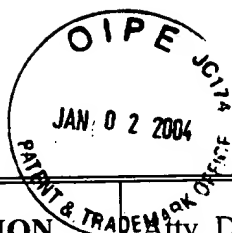
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read 'Kenneth H. Salen', with a long horizontal flourish extending to the right.

Kenneth H. Salen
Attorney for Applicants
Reg. No. 43,077

KHS/jnj

Enclosures: PTO-1449 and 4 references



INFORMATION DISCLOSURE CITATION PTO-1449	Attv. Docket No. 010849	Serial No. 09/898.043
	Applicant(s): Nobuhiko HAYASHI et al.	
	Filing Date: July 5, 2001	Group Art Unit: 2826

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA	6,252,894	Sasanuma et al.	6/26/01			
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	11-251685	9/17/99	Japan	Yes Abastract
	AG				
	AH				
	AI				
	AJ				

OTHER DOCUMENTS

	AK	Jpn. J. Appl. Phys., Vol. 38, Part 2, No. 2B (1999), PP.L184-L186
	AL	The Notice of Reasons for Refusal in the Counter part Japanese Application (JP2001-204810) and its translation thereof.
Examiner		Date Considered